

INVESTOR SUITABILITY ASSESSMENT FORM

This Investor Suitability Assessment Form will guide you in choosing the unlisted capital market products that suit your investment objectives, risk tolerance, financial profile and investment experience. The information you provide will form the basis of our recommendation. It is important to provide accurate and complete information to ensure that suitable unlisted capital market products are recommended according to your investment needs and objectives.

Warning: The recommendation is made based on information obtained from the suitability assessment. Investors are advised to exercise judgement in making an informed investment decision in relation to the unlisted capital market products.

investment decision in relation	n to the unlisted capital market products.						
New Investor	Review						
Note: This suitability assessment f	form is to be completed by Principal Holder						
Date*							
UTC Name*		UTC Code*					
		•					
Daniel de Marcon Variat Investor Dress							
Part 1: Know Your Investor Proces	ss						
* Mandatory field Principal Holder Name*	Т						
NRIC/Passport*	+	Age					
Marital Status	() Single () Married.	() Others. Please Specify					
Gender	() Male	() Others.Piease Specify					
No. of Dependent	() iviale	() remaie					
Highest Education	() Degree & above () Diploma	() STPM () SPM & below					
Occupation*	() Employed	() Self-Employed					
Occupation	Please Specify	Nature of Business:					
Annual Income*	() RM30,000 & below	() RM60,001 - RM120,000					
7111166. 1110011.12	() RM30,001 - RM60,000	() Above RM120,000					
Source of Income*	() Employment	() Inheritance					
	() Business	() Others. Please Specify					
	,	()					
Part 2: Investor's Financial Situati	ion						
Monthly Disposable Income	() Below RM5,000	() RM8,001-RM15,000					
	() RM5,001-RM8,000	() RM15,001 & above					
Total Monthly Commitment	() Below RM2,000	() RM5,001-RM10,000					
	() RM2,001-RM5,000	() RM10,001 & above					
Percentage of Investment	() Below 10%	() 30%-40%					
of your total asset	() 11%-20%	() 41%-50%					
(exclude this investment)	() 21%-30%	() 50% & above					
Part 3: Investor's Investment Kno	owledge Assessment						
(Multiple answers allowed)							
Current Investment Portfolio	() Unit Trust/PRS Fundsyears	() Trading on Bursa Malaysiayears					
(if any)	() PRSyears	() Futures/Optionsyears					
· · · · · · · · · · · · · · · · · · ·	() Propertyyears	() Bonds years					
Part 4: Investor's Investment Purp	pose						
1 What do you expect to get	out of investing in this product?						
() Capital Growth	() Regular Income	() Capital Protection					
2 What is your purpose of inv	vesting?						
() Asset accumulation	() Invest	ting for regular income					
() Saving for Children's		rs. Please specify					
() Saving for retirement							
3 What is the reason(s) for co							
() Meet my investment							
() Compatible with my	risk-return expectation						

Part 5	: Investor's Need Analysis/R	isk Froming						
1	What is your current age?							
	() 51 & above							[1]
	() 35 to 50							[3]
	() below 35							[5]
2	How will you classify yourse	elf as an investor?						
	() No experien	ce						[1]
	() 1-3 years exp	perience						[3]
	() Very experience							
3	3 Do you have any understanding on unit trust investment?							
	() Not understand at all							
	() some understanding							
	() Understand fully							
4	What is your investment ob	jective?						
	() To achieve income/returns slightly better than bank savings/fixed deposits							[1]
	() To achieve income & capital growth							[3]
	() To achieve c	apital growth						[5]
5	What is the expected durati	on for this investment?						
	() Less than 3 y	/ears						[1]
	() 3 to 5 years							[3]
	() More than 5	years						[5]
6	Which of the following state	ement best describe you?						
	() I cannot acce	ept any capital loss						[1]
	() If my investr	nent drop by 5% -10%, I will	do dollar cost averagir	ng or wait for	it to appreciate			[3]
	() I am a long t	erm investor and am not ove	erly concerned about s	hort term ma	rket trend			[5]
							Total Points	
Recon	nmendation:						Total Folits	
	_							
Total		6-13			14-22		23-30	
Risk P	rotile	Conserva			Moderate			essive
Category of fund that match the					Mixed Asset			
Cate	gory or rund that match the							
Cate	risk level	Bond			Balanced		Eq	uity
Categ		Bond PRS Conser			Balanced PRS Moderate		Eq	uity Growth
Cate	risk level		rvative	rith the invest	PRS Moderate		Eq	•
Cate	risk level	PRS Conser	rvative	rith the invest	PRS Moderate		Eq	•
Cate	risk level	PRS Conser	rvative	ith the invest	PRS Moderate		Eq	•
	risk level Please tick and comn	PRS Conser	rvative	rith the invest	PRS Moderate		Eq	•
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